



ASSESSMENT REPORT

2021 PILOT

Man Group

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ABOUT THIS REPORT

The assessment report is designed to provide feedback to signatories and support ongoing learning and development. The high-level assessment methodology can be found [here](#) and question-level assessment criteria can be found after each indicator in the Investor Reporting Framework, [here](#). Note that the 2021 scoring methodology has changed to reflect the new Reporting Framework, and is incomparable with scores from previous PRI years.

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A dataset of medians based on all signatories' scores can be referenced [here](#).

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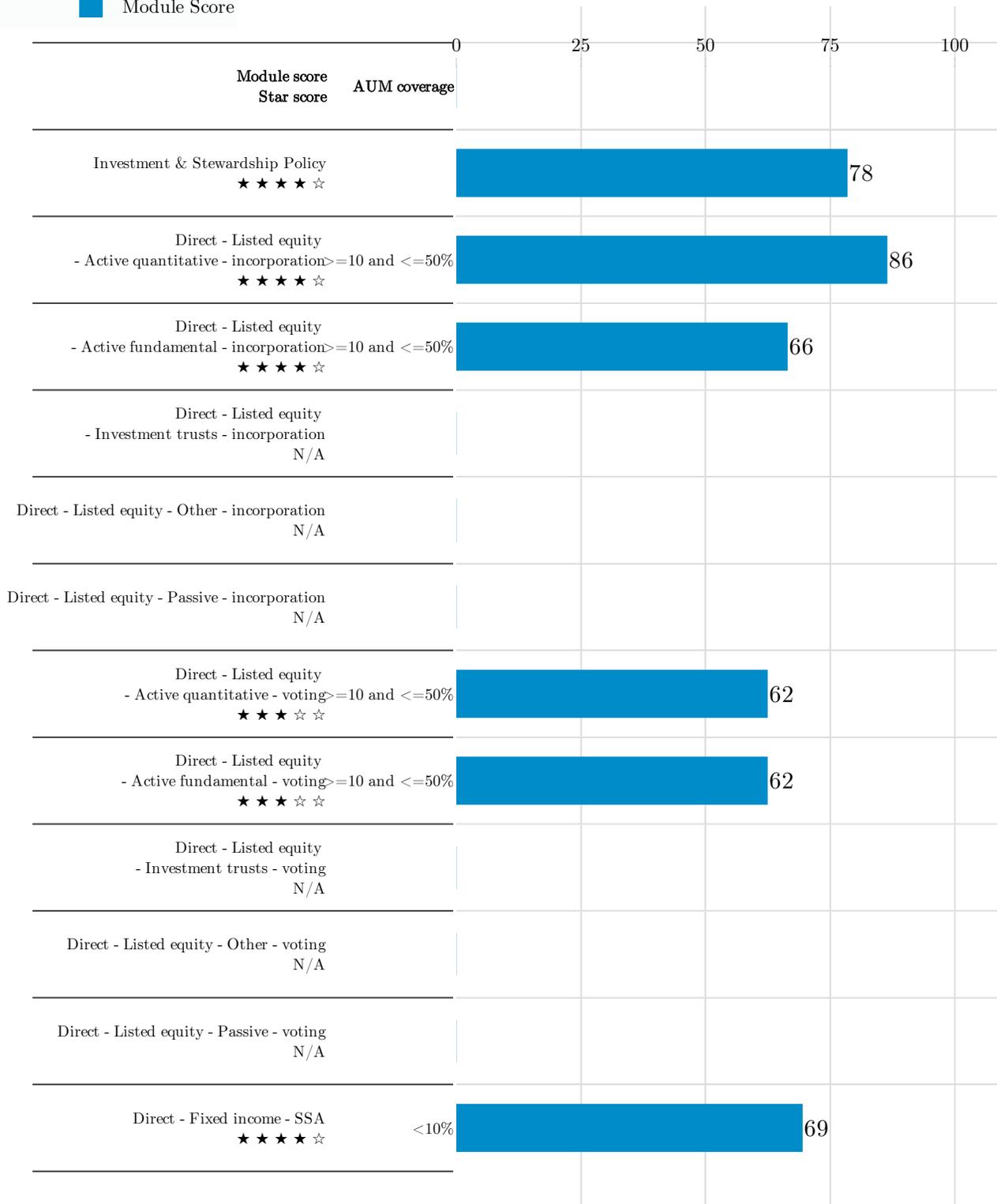
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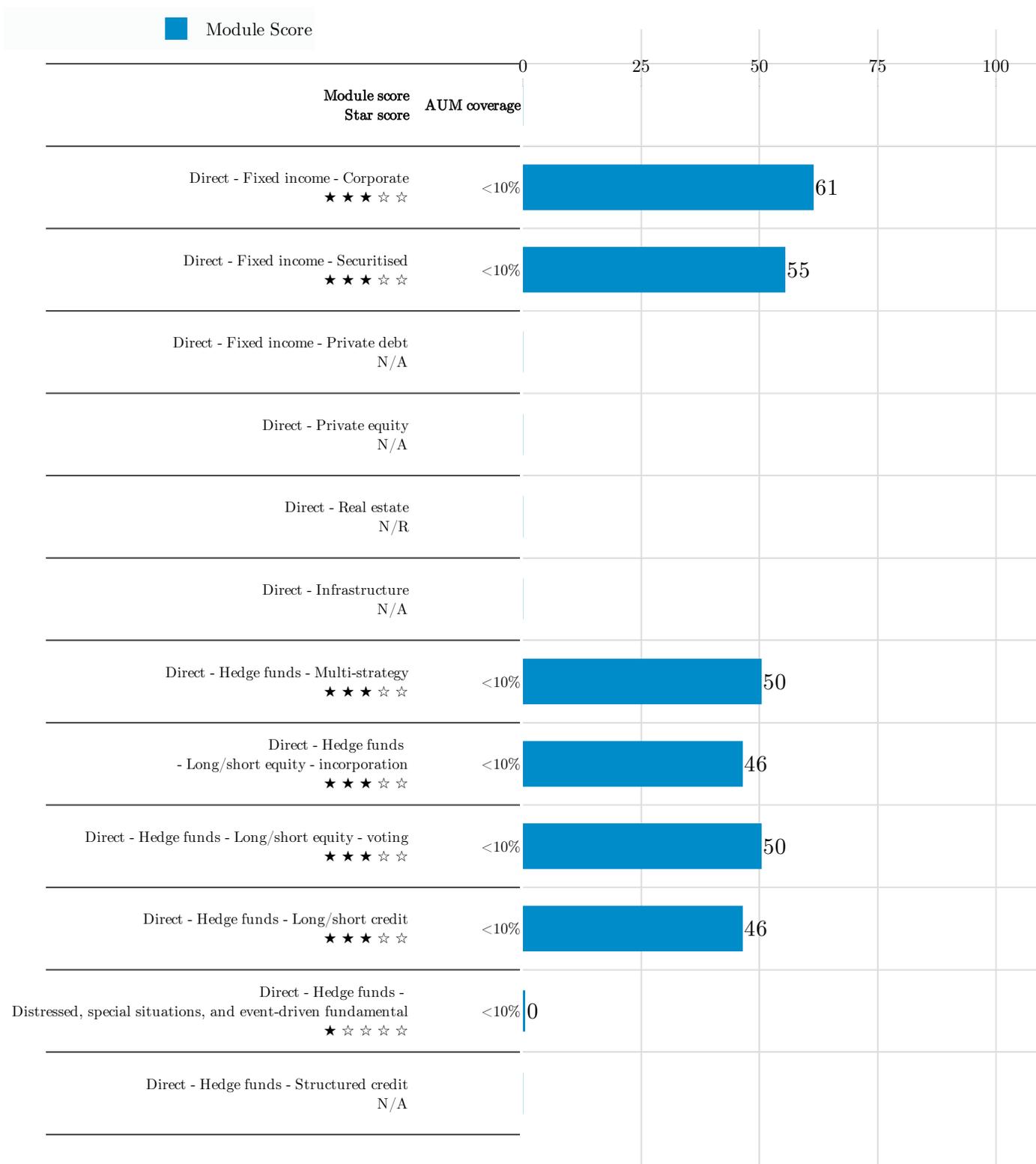
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Summary Scorecard

■ Module Score



Summary Scorecard (continued)



Summary Scorecard (continued)

 Module Score

	0	25	50	75	100
Module score Star score	AUM coverage				
Direct - Hedge funds - Global macro ★ ☆ ☆ ☆ ☆	<10%				0
Direct - Hedge funds - Commodity trading advisor ★ ☆ ☆ ☆ ☆	>=10 and <=50%				0
Direct - Hedge funds - Other N/A					
Indirect - Listed equity - Passive N/A					
Indirect - Listed equity - Active N/A					
Indirect - Fixed income - Passive N/A					
Indirect - Fixed income - Active N/A					
Indirect - Private equity N/A					
Indirect - Real estate N/A					
Indirect - Infrastructure N/A					
Indirect - Hedge funds ★ ★ ★ ☆ ☆	>=10 and <=50%				50

Indicator Level Scores For Direct - Fixed income - SSA

Section	Sub-section	Topic	Indicator	Your Score
Pre-investment phase	Materiality analysis	AUM coverage of ESG materiality assessment process	FI 1	200/200
Pre-investment phase	Materiality analysis	How ESG is incorporated into materiality assessment process	FI 1.1	150/200
Pre-investment phase	ESG risk management	AUM coverage of ESG incorporation in risk management	FI 2.1	49/150
Pre-investment phase	ESG incorporation in asset valuation	AUM coverage of ESG incorporation in investment research	FI 3.1	150/200
Pre-investment phase	ESG incorporation in portfolio construction	Portion of cases where ESG affected portfolio construction	FI 5.1	131/200
Pre-investment phase	ESG incorporation in assessment of issuers	Incorporation of ESG in credit quality analysis	FI 7	200/200
Pre-investment phase	ESG performance	Incorporation of relative ESG performance in investment analysis	FI 8	0/150
Post-investment phase	ESG risk management	Incorporation of ESG in regular reviews	FI 12	150/150
Post-investment phase	ESG risk management	Identification of ESG incidents	FI 13	50/200
Post-investment phase	Time horizons	Time frame for which ESG risks taken into account	FI 14	150/150
Post-investment phase	Long-term ESG trend analysis	Continuous monitoring of long-term ESG trends	FI 15	150/150
Reporting/Disclosure	ESG screens	Communication of the side-effects of fixed income screening to clients or beneficiaries	FI 21	0/200
Engagement	Engaging with issuers/borrowers	Timing of fixed income engagement	FI 22	200/200
Engagement	Sovereign bonds	SSA bonds: non-issuer parties engaged with	FI 23	150/150

Indicator Level Scores For Direct - Fixed income - Corporate

Section	Sub-section	Topic	Indicator	Your Score
Pre-investment phase	Materiality analysis	AUM coverage of ESG materiality assessment process	FI 1	200/200
Pre-investment phase	Materiality analysis	How ESG is incorporated into materiality assessment process	FI 1.1	150/200
Pre-investment phase	ESG risk management	AUM coverage of ESG incorporation in risk management	FI 2.1	36/150
Pre-investment phase	ESG incorporation in asset valuation	AUM coverage of ESG incorporation in investment research	FI 3.1	200/200
Pre-investment phase	ESG incorporation in portfolio construction	Portion of cases where ESG affected portfolio construction	FI 5.1	0/200
Pre-investment phase	ESG incorporation in assessment of issuers	Incorporation of ESG in credit quality analysis	FI 7	200/200
Pre-investment phase	ESG performance	Incorporation of relative ESG performance in investment analysis	FI 8	0/150
Pre-investment phase	ESG risk management	Corporate bonds: coverage of framework for ESG risks at country and sector level	FI 9.1	150/150
Post-investment phase	ESG risk management	Incorporation of ESG in regular reviews	FI 12	38/150
Post-investment phase	ESG risk management	Identification of ESG incidents	FI 13	50/200
Post-investment phase	Time horizons	Time frame for which ESG risks taken into account	FI 14	150/150
Post-investment phase	Long-term ESG trend analysis	Continuous monitoring of long-term ESG trends	FI 15	150/150
Reporting/Disclosure	ESG screens	Communication of the side-effects of fixed income screening to clients or beneficiaries	FI 21	0/200
Engagement	Engaging with issuers/borrowers	Timing of fixed income engagement	FI 22	200/200

Indicator Level Scores For Direct - Fixed income - Securitised

Section	Sub-section	Topic	Indicator	Your Score
Pre-investment phase	Materiality analysis	AUM coverage of ESG materiality assessment process	FI 1	200/200
Pre-investment phase	Materiality analysis	How ESG is incorporated into materiality assessment process	FI 1.1	150/200
Pre-investment phase	ESG risk management	AUM coverage of ESG incorporation in risk management	FI 2.1	36/150
Pre-investment phase	ESG incorporation in asset valuation	AUM coverage of ESG incorporation in investment research	FI 3.1	200/200
Pre-investment phase	ESG incorporation in portfolio construction	Portion of cases where ESG affected portfolio construction	FI 5.1	0/200
Pre-investment phase	ESG incorporation in assessment of issuers	Incorporation of ESG in credit quality analysis	FI 7	200/200
Pre-investment phase	ESG performance	Incorporation of relative ESG performance in investment analysis	FI 8	0/150
Pre-investment phase	Securitised products	Securitised debt: parties to which ESG analysis is applied	FI 11	128/200
Post-investment phase	ESG risk management	Incorporation of ESG in regular reviews	FI 12	38/150
Post-investment phase	ESG risk management	Identification of ESG incidents	FI 13	50/200
Post-investment phase	Time horizons	Time frame for which ESG risks taken into account	FI 14	150/150
Post-investment phase	Long-term ESG trend analysis	Continuous monitoring of long-term ESG trends	FI 15	150/150
Reporting/Disclosure	ESG screens	Communication of the side-effects of fixed income screening to clients or beneficiaries	FI 21	0/200

Indicator Level Scores For Direct - Hedge funds - Multi-strategy

Section	Sub-section	Topic	Indicator	Your Score
Policy	Investment guidelines	Hedge fund specific elements of responsible investment policy	HF 1	50/100
Pre-investment phase	Materiality analysis	AUM coverage of ESG materiality assessment process	HF 2	64/200
Pre-investment phase	Materiality analysis	How ESG is incorporated into materiality assessment process	HF 2.1	60/150
Pre-investment phase	Long-term ESG trend analysis	How long-term ESG trends are incorporated into investment analysis	HF 3	75/150
Pre-investment phase	Long-term ESG trend analysis	AUM coverage of long-term ESG trend incorporation	HF 3.1	32/100
Pre-investment phase	ESG incorporation	AUM coverage of ESG incorporation in investment research	HF 4.1	123/150
Pre-investment phase	ESG incorporation in portfolio construction	Portion of cases where ESG affected portfolio construction	HF 6.1	45/150
Pre-investment phase	ESG incorporation in portfolio construction	How emerging and potential ESG risks are assessed	HF 8	75/150
Post-investment phase	ESG risk management	Compliance processes for negative screening	HF 10	128/200
Post-investment phase	ESG risk management	Incorporation of ESG in regular reviews	HF 11	150/150
Post-investment phase	ESG risk management	Identification of ESG incidents	HF 12	75/150
Reporting/Disclosure	Disclosure on ESG products	Information reported to clients or beneficiaries	HF 13	30/150

Indicator Level Scores For Direct - Hedge funds - Long/short equity - incorporation

Section	Sub-section	Topic	Indicator	Your Score
Policy	Investment guidelines	Hedge fund specific elements of responsible investment policy	HF 1	50/100
Pre-investment phase	Materiality analysis	AUM coverage of ESG materiality assessment process	HF 2	128/200
Pre-investment phase	Materiality analysis	How ESG is incorporated into materiality assessment process	HF 2.1	60/150
Pre-investment phase	Long-term ESG trend analysis	How long-term ESG trends are incorporated into investment analysis	HF 3	75/150
Pre-investment phase	Long-term ESG trend analysis	AUM coverage of long-term ESG trend incorporation	HF 3.1	32/100
Pre-investment phase	ESG incorporation	AUM coverage of ESG incorporation in investment research	HF 4.1	99/150
Pre-investment phase	ESG incorporation in portfolio construction	Portion of cases where ESG affected portfolio construction	HF 6.1	0/150
Pre-investment phase	ESG incorporation in portfolio construction	How emerging and potential ESG risks are assessed	HF 8	0/150
Post-investment phase	ESG risk management	Compliance processes for negative screening	HF 10	128/200
Post-investment phase	ESG risk management	Incorporation of ESG in regular reviews	HF 11	150/150
Post-investment phase	ESG risk management	Identification of ESG incidents	HF 12	75/150
Reporting/Disclosure	Disclosure on ESG products	Information reported to clients or beneficiaries	HF 13	30/150

Indicator Level Scores For Direct - Hedge funds - Long/short equity - voting

Section	Sub-section	Topic	Indicator	Your Score
Stewardship	Security lending policy	Securities lending	HF 16	200/200
Stewardship	Security lending policy	Voting and securities lending	HF 16.1	38/150
Stewardship	Alignment & effectiveness	Consistency between voting policy and advice from external voting advisors	HF 17	75/100
Stewardship	Pre-declaration of votes	Pre-declaration of votes	HF 19	0/150
Stewardship	Voting disclosure post AGM/EGM	Disclosure of voting activities	HF 20	200/200
Stewardship	Voting disclosure post AGM/EGM	Time between vote and disclosure of voting activities	HF 20.1	38/150
Stewardship	Voting disclosure post AGM/EGM	Coverage of communication of the rationale for voting abstain/against management recommendations	HF 21.1	0/150

Indicator Level Scores For Direct - Hedge funds - Long/short credit

Section	Sub-section	Topic	Indicator	Your Score
Policy	Investment guidelines	Hedge fund specific elements of responsible investment policy	HF 1	50/100
Pre-investment phase	Materiality analysis	AUM coverage of ESG materiality assessment process	HF 2	128/200
Pre-investment phase	Materiality analysis	How ESG is incorporated into materiality assessment process	HF 2.1	60/150
Pre-investment phase	Long-term ESG trend analysis	How long-term ESG trends are incorporated into investment analysis	HF 3	75/150
Pre-investment phase	Long-term ESG trend analysis	AUM coverage of long-term ESG trend incorporation	HF 3.1	32/100
Pre-investment phase	ESG incorporation	AUM coverage of ESG incorporation in investment research	HF 4.1	99/150
Pre-investment phase	ESG incorporation in portfolio construction	Portion of cases where ESG affected portfolio construction	HF 6.1	0/150
Pre-investment phase	ESG incorporation in portfolio construction	How emerging and potential ESG risks are assessed	HF 8	0/150
Post-investment phase	ESG risk management	Compliance processes for negative screening	HF 10	128/200
Post-investment phase	ESG risk management	Incorporation of ESG in regular reviews	HF 11	150/150
Post-investment phase	ESG risk management	Identification of ESG incidents	HF 12	75/150
Reporting/Disclosure	Disclosure on ESG products	Information reported to clients or beneficiaries	HF 13	30/150

Indicator Level Scores For Direct - Hedge funds - Distressed, special situations, and event-driven fundamental

Section	Sub-section	Topic	Indicator	Your Score
Policy	Investment guidelines	Hedge fund specific elements of responsible investment policy	HF 1	0/100
Pre-investment phase	Materiality analysis	AUM coverage of ESG materiality assessment process	HF 2	0/200
Pre-investment phase	Materiality analysis	How ESG is incorporated into materiality assessment process	HF 2.1	0/150
Pre-investment phase	Long-term ESG trend analysis	How long-term ESG trends are incorporated into investment analysis	HF 3	0/150
Pre-investment phase	Long-term ESG trend analysis	AUM coverage of long-term ESG trend incorporation	HF 3.1	0/100
Pre-investment phase	ESG incorporation	AUM coverage of ESG incorporation in investment research	HF 4.1	0/150
Pre-investment phase	ESG incorporation in portfolio construction	Portion of cases where ESG affected portfolio construction	HF 6.1	0/150
Pre-investment phase	ESG incorporation in portfolio construction	How emerging and potential ESG risks are assessed	HF 8	0/150
Post-investment phase	ESG risk management	Compliance processes for negative screening	HF 10	0/200
Post-investment phase	ESG risk management	Incorporation of ESG in regular reviews	HF 11	0/150
Post-investment phase	ESG risk management	Identification of ESG incidents	HF 12	0/150
Reporting/Disclosure	Disclosure on ESG products	Information reported to clients or beneficiaries	HF 13	0/150

Indicator Level Scores For Direct - Hedge funds - Global macro

Section	Sub-section	Topic	Indicator	Your Score
Policy	Investment guidelines	Hedge fund specific elements of responsible investment policy	HF 1	0/100
Pre-investment phase	Materiality analysis	AUM coverage of ESG materiality assessment process	HF 2	0/200
Pre-investment phase	Materiality analysis	How ESG is incorporated into materiality assessment process	HF 2.1	0/150
Pre-investment phase	Long-term ESG trend analysis	How long-term ESG trends are incorporated into investment analysis	HF 3	0/150
Pre-investment phase	Long-term ESG trend analysis	AUM coverage of long-term ESG trend incorporation	HF 3.1	0/100
Pre-investment phase	ESG incorporation	AUM coverage of ESG incorporation in investment research	HF 4.1	0/150
Pre-investment phase	ESG incorporation in portfolio construction	Portion of cases where ESG affected portfolio construction	HF 6.1	0/150
Pre-investment phase	ESG incorporation in portfolio construction	How emerging and potential ESG risks are assessed	HF 8	0/150
Post-investment phase	ESG risk management	Compliance processes for negative screening	HF 10	0/200
Post-investment phase	ESG risk management	Incorporation of ESG in regular reviews	HF 11	0/150
Post-investment phase	ESG risk management	Identification of ESG incidents	HF 12	0/150
Reporting/Disclosure	Disclosure on ESG products	Information reported to clients or beneficiaries	HF 13	0/150

Indicator Level Scores For Direct - Hedge funds - Commodity trading advisor

Section	Sub-section	Topic	Indicator	Your Score
Policy	Investment guidelines	Hedge fund specific elements of responsible investment policy	HF 1	0/100
Pre-investment phase	Materiality analysis	AUM coverage of ESG materiality assessment process	HF 2	0/200
Pre-investment phase	Materiality analysis	How ESG is incorporated into materiality assessment process	HF 2.1	0/150
Pre-investment phase	Long-term ESG trend analysis	How long-term ESG trends are incorporated into investment analysis	HF 3	0/150
Pre-investment phase	Long-term ESG trend analysis	AUM coverage of long-term ESG trend incorporation	HF 3.1	0/100
Pre-investment phase	ESG incorporation	AUM coverage of ESG incorporation in investment research	HF 4.1	0/150
Pre-investment phase	ESG incorporation in portfolio construction	Portion of cases where ESG affected portfolio construction	HF 6.1	0/150
Pre-investment phase	ESG incorporation in portfolio construction	How emerging and potential ESG risks are assessed	HF 8	0/150
Post-investment phase	ESG risk management	Compliance processes for negative screening	HF 10	0/200
Post-investment phase	ESG risk management	Incorporation of ESG in regular reviews	HF 11	0/150
Post-investment phase	ESG risk management	Identification of ESG incidents	HF 12	0/150
Reporting/Disclosure	Disclosure on ESG products	Information reported to clients or beneficiaries	HF 13	0/150

Indicator Level Scores For Investment & Stewardship Policy

Section	Sub-section	Topic	Indicator	Your Score
Responsible investment policy & governance	Responsible investment policy	Signatories responsible investment policy	ISP 1	200/200
Responsible investment policy & governance	Responsible investment policy	Signatories with elements of responsible investment policy	ISP 1.1	200/200
Responsible investment policy & governance	Responsible investment policy	Signatories with public responsible policy elements	ISP 2	150/200
Responsible investment policy & governance	Responsible investment policy	Coverage of responsible policy elements	ISP 3	200/200
Responsible investment policy & governance	Responsible investment policy	Contents of exclusion policy	ISP 4	100/100
Responsible investment policy & governance	Responsible investment policy	Coverage of asset specific guidelines on ESG incorporation	ISP 5	150/150
Responsible investment policy & governance	Governance	Roles with formal oversight and accountability for responsible investment	ISP 6	150/150
Responsible investment policy & governance	Governance	Roles with implementation responsibilities for responsible investment	ISP 7	100/100
Responsible investment policy & governance	People and capabilities	Staff objectives for responsible investment practices	ISP 8	150/150
Responsible investment policy & governance	People and capabilities	Bonuses for responsible investment practices	ISP 8.2	0/100
Responsible investment policy & governance	People and capabilities	Frequency at which staff training for responsible investment is reassessed	ISP 9	100/100
Stewardship	Stewardship policy	Coverage of stewardship policy	ISP 11	150/200
Stewardship	Stewardship policy	Elements of stewardship policy	ISP 12	120/150
Stewardship	Stewardship policy implementation	Primary mechanism of stewardship policy	ISP 13	150/150
Stewardship	Stewardship objectives	Primary objective of stewardship policy	ISP 15	150/200
Stewardship	Stewardship prioritisation	Criteria for prioritising engagement targets	ISP 16	75/100
Stewardship	Collaborative stewardship	Positions on collaborative engagement	ISP 18	75/150
Stewardship	Escalation strategies	Escalation strategies not used in stewardship	ISP 20	150/150
Stewardship	Engaging policymakers	Signatories who engage with public policy makers	ISP 23	200/200

Indicator Level Scores For Investment & Stewardship Policy (continued)

Section	Sub-section	Topic	Indicator	Your Score
Stewardship	Engaging policymakers	Methods used to engage with public policy makers	ISP 23.1	200/200
Stewardship	Engaging policymakers	Signatories with governance processes to ensure alignment of political activity with stance on responsible investment	ISP 23.2	200/200
Stewardship	Engaging policymakers – Policies	Signatories with policies to ensure alignment of political activity with stance on responsible investment	ISP 24	200/200
Stewardship	Engaging policymakers – Policies	Public disclosure of political influence policies	ISP 24.1	0/150
Stewardship	Engaging policymakers – Transparency	Disclosure of political influence activities	ISP 25	0/200
Climate change	Public support	Signatories publicly supporting the Paris Agreement	ISP 26	150/150
Climate change	Public support	Signatories publicly supporting the TCFD	ISP 27	150/150
Climate change	Governance	Board oversight for climate-related risks and opportunities [TCFD Governance A]	ISP 28	200/200
Climate change	Governance	Management responsibility for climate-related risks and opportunities [TCFD Governance B]	ISP 29	200/200
Climate change	Strategy	Time horizon for climate risks and opportunities [TCFD Strategy A]	ISP 30.1	0/200
Climate change	Strategy	Climate risks and opportunities identified beyond investment horizon [TCFD Strategy A]	ISP 31	0/200
Climate change	Strategy: Scenario analysis	Use of climate scenario analysis	ISP 33	64/200
Sustainability outcomes	Set policies on sustainability outcomes	Location of policy on sustainability outcomes	ISP 40	200/200
Sustainability outcomes	Set policies on sustainability outcomes	Frameworks referred to in sustainability outcomes policies and processes	ISP 41	200/200
Sustainability outcomes	Identify sustainability outcomes	Tools and frameworks used to identify and map activities onto sustainability outcomes	ISP 44	200/200
Sustainability outcomes	Identify sustainability outcomes	Levels at which sustainability outcomes are identified and mapped	ISP 44.1	150/150
Sustainability outcomes	Identify sustainability outcomes	Processes for identifying most salient sustainability outcomes	ISP 45	200/200
Transparency & Confidence-Building Measures	Information disclosed – ESG assets	Information shared regarding ESG products	ISP 46	150/150
Transparency & Confidence-Building Measures	Client reporting – ESG assets	Information included in regular client reporting for ESG products	ISP 48	112/150

Indicator Level Scores For Investment & Stewardship Policy (continued)

Section	Sub-section	Topic	Indicator	Your Score
Transparency & Confidence-Building Measures	Information disclosed – All assets	Information shared	ISP 49	150/150
Transparency & Confidence-Building Measures	Client reporting – All assets	ESG information included in regular client reporting	ISP 50	0/200
Transparency & Confidence-Building Measures	Frequency of client reporting – All assets	Frequency of client reporting of ESG information	ISP 51	81/100
Transparency & Confidence-Building Measures	Confidence-building measures	Confidence building measures	ISP 52	128/200
Transparency & Confidence-Building Measures	Confidence-building measures	Internal review: coverage	ISP 58	100/100

Indicator Level Scores For Direct - Listed equity - Active quantitative - incorporation

Section	Sub-section	Topic	Indicator	Your Score
Pre-investment phase	Materiality analysis	AUM coverage of ESG materiality assessment process	LE 1	150/150
Pre-investment phase	Materiality analysis	How ESG is incorporated into materiality assessment process	LE 1.1	120/200
Pre-investment phase	Long-term ESG trend analysis	Continuous monitoring of long-term ESG trends	LE 2	150/150
Pre-investment phase	ESG incorporation	Coverage of valuation and financial modelling incorporating ESG risks	LE 3.1	150/150
Pre-investment phase	Assessing ESG performance	AUM coverage of information used in incorporating ESG in financial modelling	LE 4.1	150/150
Pre-investment phase	ESG incorporation in portfolio construction	Portion of cases where ESG affected portfolio construction	LE 6.1	150/150
Pre-investment phase	ESG risk management	Compliance processes for negative screening	LE 8	128/200
Post-investment phase	ESG risk management	Incorporation of ESG in regular reviews	LE 9	150/150
Post-investment phase	ESG risk management	Identification of ESG incidents	LE 10	100/100
Reporting/Disclosure	Sharing ESG information with stakeholders	Communication of the side-effects of listed equity screening to clients or beneficiaries	LE 13	88/100
Reporting/Disclosure	Sharing ESG information with stakeholders	ESG information included in client reporting	LE 14	125/200

Indicator Level Scores For Direct - Listed equity - Active quantitative - voting

Section	Sub-section	Topic	Indicator	Your Score
Stewardship	Voting policy	Voting policies	LE 15	200/200
Stewardship	Voting policy	Coverage of voting policy	LE 15.1	200/200
Stewardship	Voting policy	Guidance on specific ESG issues in voting policy	LE 16	150/150
Stewardship	Alignment & effectiveness	Consistency between voting policy and advice from external voting advisors	LE 17	75/100
Stewardship	Security lending policy	Securities lending	LE 18	200/200
Stewardship	Security lending policy	Voting and securities lending	LE 18.1	38/150
Stewardship	Security lending policy	Exclusions in securities lending	LE 18.2	0/100
Stewardship	Shareholder resolutions	Stance on shareholder resolutions	LE 19	150/150
Stewardship	Pre-declaration of votes	Pre-declaration of votes	LE 20	0/150
Stewardship	Voting disclosure post AGM/EGM	Disclosure of voting activities	LE 21	200/200
Stewardship	Voting disclosure post AGM/EGM	Time between vote and disclosure of voting activities	LE 21.1	38/150
Stewardship	Voting disclosure post AGM/EGM	Coverage of communication of the rationale for voting abstain/against management recommendations	LE 22.1	0/150
Stewardship	Voting disclosure post AGM/EGM	Coverage of communication of the rationale for voting abstain/against PRI signatory resolutions	LE 23.1	0/100

Indicator Level Scores For Direct - Listed equity - Active fundamental - incorporation

Section	Sub-section	Topic	Indicator	Your Score
Pre-investment phase	Materiality analysis	AUM coverage of ESG materiality assessment process	LE 1	150/150
Pre-investment phase	Materiality analysis	How ESG is incorporated into materiality assessment process	LE 1.1	120/200
Pre-investment phase	Long-term ESG trend analysis	Continuous monitoring of long-term ESG trends	LE 2	150/150
Pre-investment phase	ESG incorporation	Coverage of valuation and financial modelling incorporating ESG risks	LE 3.1	0/150
Pre-investment phase	Assessing ESG performance	AUM coverage of information used in incorporating ESG in financial modelling	LE 4.1	94/150
Pre-investment phase	ESG incorporation in portfolio construction	Portion of cases where ESG affected portfolio construction	LE 6.1	61/150
Pre-investment phase	ESG risk management	Compliance processes for negative screening	LE 8	128/200
Post-investment phase	ESG risk management	Incorporation of ESG in regular reviews	LE 9	112/150
Post-investment phase	ESG risk management	Identification of ESG incidents	LE 10	100/100
Reporting/Disclosure	Sharing ESG information with stakeholders	Communication of the side-effects of listed equity screening to clients or beneficiaries	LE 13	88/100
Reporting/Disclosure	Sharing ESG information with stakeholders	ESG information included in client reporting	LE 14	125/200

Indicator Level Scores For Direct - Listed equity - Active fundamental - voting

Section	Sub-section	Topic	Indicator	Your Score
Stewardship	Voting policy	Voting policies	LE 15	200/200
Stewardship	Voting policy	Coverage of voting policy	LE 15.1	200/200
Stewardship	Voting policy	Guidance on specific ESG issues in voting policy	LE 16	150/150
Stewardship	Alignment & effectiveness	Consistency between voting policy and advice from external voting advisors	LE 17	75/100
Stewardship	Security lending policy	Securities lending	LE 18	200/200
Stewardship	Security lending policy	Voting and securities lending	LE 18.1	38/150
Stewardship	Security lending policy	Exclusions in securities lending	LE 18.2	0/100
Stewardship	Shareholder resolutions	Stance on shareholder resolutions	LE 19	150/150
Stewardship	Pre-declaration of votes	Pre-declaration of votes	LE 20	0/150
Stewardship	Voting disclosure post AGM/EGM	Disclosure of voting activities	LE 21	200/200
Stewardship	Voting disclosure post AGM/EGM	Time between vote and disclosure of voting activities	LE 21.1	38/150
Stewardship	Voting disclosure post AGM/EGM	Coverage of communication of the rationale for voting abstain/against management recommendations	LE 22.1	0/150
Stewardship	Voting disclosure post AGM/EGM	Coverage of communication of the rationale for voting abstain/against PRI signatory resolutions	LE 23.1	0/100

Indicator Level Scores For Indirect - Hedge funds

Section	Sub-section	Topic	Indicator	Your Score
Selection	Responsible investment policy	Compliance with responsible investment policy - coverage	SAM 2.1	150/150
Selection	Research and screening	Manager selection criteria: conduct and capabilities	SAM 3	108/150
Selection	Investment practices	Manager selection criteria: investment practices	SAM 4	79/200
Selection	Documentation and track record	Review of information in manager selection	SAM 11	17/200
Appointment	Pooled funds	Manager appointment for pooled funds	SAM 12	114/200
Appointment	Segregated mandates	Contractual requirements in manager appointment for segregated funds	SAM 13	57/200
Monitoring	Investment practices	Manager monitoring: investment practices	SAM 14	102/150
Monitoring	Review	Manager monitoring: reporting	SAM 20	66/100
Monitoring	Engagement and escalation	Manager monitoring: formal grievance processes	SAM 22	60/150